

Levine Act Disclosure Statement and Form

The Levine Act prohibits City of Dublin Officers from accepting, soliciting, or directing campaign contributions of more than \$500 from “any party or a party’s agent, or from any participant or a participant’s agent if the officer knows or has reason to know that the participant has a financial interest” in a “proceeding involving a license, permit, or other entitlement for use” while a proceeding is pending and for 12 months following the date a final decision is rendered in the proceeding” (Gov. Code, § 84308(b)(1).)

The Levine Act also prohibits City of Dublin officers from participating in such a proceeding “if the officer has willfully or knowingly received a contribution in an amount of more than five hundred dollars (\$500) within the preceding 12 months from a party or a party’s agent, or from any participant or a participant’s agent if the officer knows or has reason to know that the participant has a financial interest in the decision.” (Gov. Code, § 84308(c)(1).)

To ensure that the City and City Officials are aware of any such contributions, applicants for licenses, permits, and other entitlements for use must complete and file the attached disclosure form.

This notice summarizes the major requirements and definitions of the Levine Act. Parties are solely responsible for making disclosures accurately and should refer to Government Code section 84308 et seq. and Title 2, Division 6, section 18438 et seq. of the California Code of Regulations.

Important Notice

1. A Party to a proceeding before the City Council, is required to “disclose on the record of the proceeding any contribution in an amount of more than five hundred (\$500) made within the preceding 12 months before the date any decision is rendered. . . .” (Gov. Code, § 84308 (e)(1).)
2. A Party or Participant “to a proceeding involving a license, permit, or other entitlement for use pending . . . shall not make a contribution of more than five hundred dollars (\$500) to any officer of that agency during the proceeding and for 12 months following the date the final decision is rendered by the agency in the proceeding. . . .” (Gov. Code, § 84308 (e)(2).)
3. “An agent to a party or participant shall not make a contribution in any amount to an officer” during the proceeding and for 12 months following the decision. (Gov. Code, § 84308(e)(3).)

Definition of Terms

“Party” means any person who files an application for, or is the subject of, a proceeding involving a license, permit, or other entitlement for use.” (Gov. Code, § 84308 (a)(1).)

“Participant” means any person who is not a party but who actively supports or opposes a particular decision in a proceeding involving a license, permit, or other entitlement for use and who has a financial interest in the decision, as described in Article 1 (commencing with Section 87100) of Chapter 7. A person actively supports or opposes a particular decision in a proceeding if that person lobbies in person the officers or employees of the agency, testifies in person before the agency, or otherwise acts to influence officers of the agency. A person is **not** a **“participant”** under this paragraph if their financial interest in the decision results solely from an increase or decrease in membership dues. (Gov. Code, § 84308 (a)(2).)

“Officer” means any elected or appointed officer of an agency, any alternate to an elected or appointed officer of an agency, and any candidate for elective office in an agency. . . .” (Gov. Code, § 84308 (a)(4).)

“License, permit, or other entitlement for use” means all business, professional, trade, and land use licenses and permits and all other entitlements for use, including all entitlements for land use, all contracts, and all franchises.” (Gov. Code, § 84308 (a)(5)(A).)

“Contribution” includes contributions to candidates and committees in federal, state, or local elections.” (Gov. Code, § 84308 (a)(6).)

“A person is the **“agent”** of a party to, or a participant in, a pending proceeding involving a license, permit, or other entitlement for use only if the person represents that party or participant for compensation and appears before or otherwise communicates with an agency for the purpose of influencing the proceeding on behalf of a party or participant. . . . If an individual acting as an agent is also acting as an employee or member of a law, architectural, engineering, or consulting firm, or a similar entity or corporation, both the entity or corporation and the individual are “agents.” . . . **“Agent”** includes a lobbyist registered to lobby the agency and who otherwise meets the requirements of paragraph (1).” (Gov. Code, §§ 84308 (h)(1)-(3).)

Financial Disclosure Statement

Project Name and Permit Number:

Applicant Name:

1. List names of all persons having any ownership interest in the property involved or any financial interest in the application:
2. If any person identified pursuant to Question 1 is a corporation or partnership, list the names of individuals owning more than 10% of the shares in the corporation or owning any partnership interest in the partnership:
3. If any person identified pursuant to Question 1 is a non-profit organization or a trust, list the names of any person serving as director of the non-profit organization or as trustee or beneficiary or trustor of the trust:
4. (a) Has any person identified above made campaign contributions of an amount greater than \$500 to the Mayor, any member of the City Council, or candidate for such position within the past 12 months?

(b) Has an agent made any campaign contributions to the Mayor, any member of the City Council, or candidate for such position within the past 12 months?

If YES, please provide the name(s) of the person(s) who made the contribution:

Please indicate to whom and the date the contribution was made:

Official	Date
☐ Mayor Hu	
☐ Councilmember Qaadri	
☐ Councilmember Josey	
☐ Councilmember Morada	
☐ Councilmember McCorriston	
Other Official(s):	
☐	
☐	
☐	

I hereby certify that under penalty of perjury that the above information is true and accurate to the best of my knowledge.

Signature of Applicant

Date